

## NEW SCHWARZ NORMS ON B(H)

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### Abstract

In this paper, we give results on new Schwarz norms in  $B(H)$ . We also give a characterization for a new class of norms in Banach space setting.

### 1 Introduction

A norm  $\|\cdot\|^*$  on  $B(H)$  which is equivalent to the operator norm  $\|\cdot\|$  is called a

Schwarz norm if  $\|T\| \leq 1$  implies  $\|f(T)\| \leq \|F\|_\infty \equiv \max_{|z| \leq 1} |f(z)|$

.....(\*) for any analytic function  $f$  with  $f(0) = 0$  and  $\|F\|_\infty < 1$ . Von

Neumann [11] first showed that if  $T \in B(H)$  then the usual operator norm

$\|T\| = \sup\{\langle Tx, x \rangle : x \in H, \|x\| = 1\}$  is a Schwarz norm using the spectral representation

of a unitary operator  $U$  i.e  $f(U) = \int_0^{2\pi} f(e^{i\theta}) dE(\theta)$  generates a norm  $\|f(U)x\|^2 =$

$\int_0^{2\pi} |f(e^{i\theta})|^2 dE(\theta)$  where  $E(\theta)$  is a positive spectral measure of  $U$ . the inequality (\*)

above then follow from this norm. now the numerical radius of an operator  $T \in B(H)$

is defined as  $w(T) = \sup\{|z| : z \in W(T)\}$  where  $W(T)$  is the numerical range of  $T$ , i.e

the set  $W(T) = \{\langle Tx, x \rangle : x \in H, \|x\| = 1\}$ . Berger and Stampfli [2] proved that the

numerical radius  $w(T)$  is a Schwarz norm using the theory of unitary dilation i.e  $w(T)$

$\leq 1$  if and only if there is a unitary operator  $U$  on  $K \supset H$  such that

$T^n = 2PU^n / H (n = 1, 2, \dots)$ . Nagy and Foias [3] and later other papers improved on

this to obtain the  $\rho$ -radius,  $w_\rho(T)$  of an operator as  $W_\rho(T) \equiv \inf\{\lambda > 0; \frac{1}{\lambda}T \in C_\rho\}$

where  $C_\rho$  is the class of operator with  $\rho$  dilations. Thus for a complex valued function

$f(z)$  defined and analytic on the closed unit disk with  $f(0) = 0$ , if  $T$  has a  $\rho$  dilation  $U$ ,

then by series expansion,  $f(T)^n = \rho P f(U)^n / H (n = 1, 2, \dots)$  and it can then be

proved that  $w_\rho(f(T)) \leq \|f\|_\infty$  so that the inequality (\*) is achieved.

Using the two norms  $\|T\|$  and  $w(T)$  (as proved by Von Neumann and Berger –Stampfli to be Schwarz norms), William [1] constructed a class  $S_c$  of operators which he used to build a family of Schwarz norms.

## 2. Preliminaries

We will in this section give the definitions that will be essential in our study. In the following  $\mathbf{K}=\mathbf{R}$  or  $\mathbf{C}$

Definition 2.1 if  $T \in B(H)$ , then the operator  $T^* : H \rightarrow H$  defined by

$$\langle Tx, y \rangle = \langle x, T^* y \rangle \quad \forall x, y \in H$$

is called the adjoint of T. ( $T^*$  is also in  $B(H)$  and  $\|T^*\| = \|T\|$ )

Definition 2.2 an operator  $T \in B(H)$  is said to be self adjoint if  $T^*=T$  and if T is linear on a linear subspace M of Hilbert space H into M then it is said to be Hermitian if in addition  $\langle Tx, y \rangle = \langle x, Ty \rangle \forall x, y \in M$

Definition 2.3 Let H be a complex Hilbert space and  $T \in B(H)$ . Then there exists unique self adjoint operators  $A, B \in B(H)$  such that  $T = A + iB$ , A and B are given by

$$A = \frac{1}{2}(T + T^*), B = \frac{1}{2i}(T - T^*)$$

so that A is called real part of T denoted by  $\text{Re}T$  and B the imaginary part of T denoted by  $\text{Im}T$ . Note that  $\text{Re}\langle Tx, x \rangle = \langle (\text{Re}T)x, x \rangle$  for

every  $x \in H$ , indeed  $\langle Tx, x \rangle = \frac{1}{2}\langle (T + T^*)x, x \rangle + i\frac{1}{2}\langle \left(\frac{T - T^*}{2}\right)x, x \rangle$  and  $\langle Tx, x \rangle$  being

a complex number we have  $\langle Tx, x \rangle = a + ib$ , where a, b are real numbers given by

$$a = \langle (\text{Re}T)x, x \rangle, b = \langle (\text{Im}T)x, x \rangle$$

Definition 2.4 let H be a complex Hilbert space and  $T \in B(H)$ , the numerical range of T is the set  $W(T) \subset \mathbf{C}$  defined by  $W(T) = \{\langle Tx, x \rangle : x \in H, \|x\| = 1\}$

Definition 2.5 the numerical radius  $w(T)$  of an operator  $T \in B(H)$  is the number defined by the relation  $w(T) = \sup\{|\lambda| : \lambda \in W(T)\}$

Definition 2.6 let X, Y be normed linear spaces over  $\mathbf{K}$  and  $T : X \rightarrow Y$  be a linear transformation, then T is said to be compact if for every bounded subset M of X, the image  $\overline{T(M)}$  (strongly closure of  $T(M)$  in X) is compact or equivalently, if X, Y be normed linear spaces over  $\mathbf{K}$  and  $T : X \rightarrow Y$  be a linear T is said to be compact if and only if for every bounded sequence  $(X_n)$  of elements of X, the sequence  $(T(X_n))$  has a subsequence which converges strongly in Y. the set  $K(X, Y)$  of all compact linear operators  $T : X \rightarrow Y$  is a linear subspace of  $B(X, Y)$  which is a set of all bounded linear operators  $T : X \rightarrow Y$

Definition 2.7 a Banach algebra  $\mathbf{B}$  is a Banach space  $(\mathbf{B}, \|\cdot\|)$  in which for every  $x, y \in \mathbf{B}$  such that

$$i. \quad (\lambda x)_y = \lambda(xy) = x(\lambda y) \text{ for all } \lambda \text{ in } \mathbf{K}$$

- ii.  $(x + y)z = xz + yz$  for all  $x, y, z$  in  $\mathbf{B}$
- iii.  $x(y + z) = xy + xz$  for all  $x, y, z$  in  $\mathbf{B}$
- iv.  $\|xy\| \leq \|x\| \|y\|$   $x, y, z$  in  $\mathbf{B}$

Definition 2.8 suppose  $\mathbf{A}$  is arbitrary Banach algebra (commutative or not), a mapping  $*$ :  $\mathbf{A} \rightarrow \mathbf{A}$  is called an involution of  $\mathbf{A}$  or  $\mathbf{A}$  is called an involutive Banach space if;

1.  $(x + y)^* = x^* + y^*$
2.  $(\lambda x)^* = \bar{\lambda} x^*$   $\lambda \in \mathbf{C}$
3.  $(\lambda x)^* = y^* x^*$
4.  $(x^*)^* = x$  for all  $x, y \in \mathbf{A}$

An involutive Banach algebra  $\mathbf{A}$  is called a  $\mathbf{B}^*$  algebra if  $\|x^* x\| = \|x\|^2$  for all  $x \in \mathbf{A}$

Definition 2.9 let  $X$  be a linear space over  $\mathbf{K}$  and  $M$  be a linear subspace of  $X$ . for each  $x \in X$  we define  $x + M = \{x + y : y \in M\}$ , and if  $x, x' \in X$  then  $x + M = x' + M$  if and only if  $x - x' \in M$

Definition let  $(X, \|\cdot\|)$  be a normed linear space and  $M$  be a closed linear subspace of  $X$ , for each element  $x + M$  in  $X/M$ , define a function

$\|x + M\| = \inf\{\|x + y\| : y \in M\} = \text{dis}(x, M)$  then  $\|\cdot\|$  is a norm in  $X/M$  i.e  $(X/M, \|\cdot\|)$  is a Banach space if  $(X/M, \|\cdot\|)$  is a Banach space. If  $M$  is not closed then  $\|x + M\| = 0 \Rightarrow x \in M$  and  $\therefore x + M \neq M$ , the zero element of  $X/M$ . therefore  $\|\cdot\|$  is a seminorm.

Definition suppose  $X$  in the above definition is  $\mathbf{B}(\mathbf{H})$ ; then  $\mathbf{B}(\mathbf{H})/\mathbf{K}(\mathbf{H}) = \{T + K(H) : T \in B(H)\}$  is called a Calkin algebra. For each  $T$  in  $\mathbf{K}(\mathbf{H})$ , there corresponds a unique  $\hat{T}$  on  $\mathbf{B}(\mathbf{H})/\mathbf{K}(\mathbf{H})$  and this correspondence given by  $T \mapsto \hat{T}$  and can also be given by  $T \rightarrow (T + K(H)) = \hat{T}$

### Main results

**Proposition** If  $\|T\|_c$  is a norm and  $\|\hat{T}\|_c$  is a seminorm, then the sum is a Schwarz norm i.e taking the sum of two different Schwarz norm applied to  $T$  and to the image of  $T$  in the Calkin algebra. For any  $c \geq 1$  we define on  $B(H)$  the function  $\|T\|_c^* = \|T\|_c + \|\hat{T}\|_c \forall T \in B(H)$  where  $\hat{T}$  denotes the image of  $T$  in the Calkin algebra and  $\|\hat{T}\|_c$  being a seminorm as indicated in definition 1.2.19. then  $T \mapsto \|T\|_c^*$  is a Schwarz norm on  $B(H)$  and is not in the class constructed by Williams.

proof. First we remark that we can construct a more general Schwarz norm on  $B(H)$  by taking the sum of two different Schwarz norms applied to  $T$  and

to the image of  $T$  in the Calkin algebra. Also since  $\|T\|_c$  is a norm and  $\|\hat{T}\|_c$  is a seminorm, it follows that the sum is a Schwarz norm. Suppose that  $Q$  is a positive hermitian operator with the property  $0 < mI \leq Q \leq MI$ , where  $m = \inf \{ \langle Tx, x \rangle : \|x\| = 1 \}$   $M = \sup \{ \langle Tx, x \rangle : \|x\| = 1 \}$  Then we can construct the operator  $Q^{\frac{1}{2}}$  which is also positive and invertible. The following new class  $S_Q$  of operators is a generalization of the class  $S_c$  to which it reduces when  $Q = cI$

Definition. If  $Q$  is a Hermitian operator  $0 < mI < Q < MI$  then the class  $S_Q$  is the set of all operators  $T \in B(H)$  with the following properties

1.  $\delta(T)$  is in the unit disk.
2.  $\text{Re} \left( I + \sum Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} z^n \right) \geq 0$ , for all  $|z| < 1$

We can prove some results about this class as for the class  $S_c$  obtained by Williams.

Theorem 2.15. If  $f$  is a rational function with no poles in the closed unit disk and  $\|f\|_\infty < 1, f(0) = 0$  then for any  $T \in S_Q, f(T) \in S_Q$  In this proof, we use the approach of Williams [1]:

Proof:

The function  $z \mapsto \left\langle \left( \sum_{n=1}^{\infty} Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} z^n \right) x, x \right\rangle$  is with real part positive. By the

Herglotz theorem, there exists a positive measure  $\mu_x$  such that

$$\|x\|^2 + c \sum_{n=1}^{\infty} z^n \left\langle Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} x, x \right\rangle = \int_0^{2\pi} d\mu_x(t) \text{ for all } |z| < 1 \text{ now,}$$

From these relations, we obtain immediately that for any polynomial  $p(z) = \sum a_i z^i$

and any  $x \in H, P \left\langle \left( Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} \right) x, x \right\rangle = 2 \int_0^{2\pi} p(e^{it}) d\mu_x(t)$  and if we take  $p^n(z)$ , we

obtain  $P^n \left\langle \left( Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} \right) x, x \right\rangle = 2 \int_0^{2\pi} p^n(e^{it}) d\mu_x(t)$  This implies that if  $\|$

$p\|_\infty = 1, p^n(Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}})$  is a bounded operator and for  $z, |z| < 1$ , we obtain.

$$\left\langle 1 + c \sum_{n=1}^{\infty} z^n p^n \left( Q^{\frac{1}{2}} T^n Q^{\frac{1}{2}} \right) x, x \right\rangle = \|x\|^2 + 2 \sum_{n=1}^{\infty} z^n \int_0^{2\pi} p^n(e^{it}) d\mu_x(t) =$$

$$\int_0^{2\pi} \frac{1 + zp(e^{it})}{1 - zp(e^{it})} d\mu_x(t)$$

From these relations, we obtain immediately that for any polynomial  $p(T) \in S_Q$  when  $p$  is a polynomial. now if  $f$  is any functional which is

rational and with no poles in the closed unit disk, then  $f(T) \in S_Q$ . Now this theorem shows that  $S_Q$  is a family of distinct Schawrz norms.  $f(T) \in S_Q$

Proposition 2.16. The operator  $T \in B(H)$  is in  $S_Q$  if and only if :

1.  $\delta(T)$  is in the unit disk
2.  $\operatorname{Re} \left\langle \left( Q^2 (I - zT)^{-1} Q^{-1} x, x \right) \right\rangle \langle Qx, x \rangle + \|x\|^2 \geq 0$

Proof; the condition,

$\operatorname{Re} \left[ I + \sum Q^2 T^n Q^{-2} z^n \geq 0 \right]$  is equivalent to the following  $\operatorname{Re}[(Q^{1/2}(I - zT)^{-1} Q^{1/2} Q + I)x, x] \geq 0$  Which is our assertion. From this characterization we obtain the following result

Proposition 2.17. If  $Q \geq I$ , then  $T \in S_Q$  if and only if

1.  $\delta(T)$  is in the unit disk
2.  $\operatorname{Re} \langle Q^{1/2} (I - zT)^{-1} Q^{1/2} x, x \rangle \geq \|Q^{1/2} x\|^2 - \|x\|^2 = \langle (Q - I)x, x \rangle$

Proof:

This follows directly from the above proposition 3.1.4. The following theorem gives information about the  $S_Q$  class which is similar to that given in proposition 2 for the  $S_c$  class.

Proposition 2.18. If  $Q$  is a positive hermitian operator, then the following assertions hold.

1.  $S_Q = S_{Q^*} = \{T^* : T \in S_Q\}$
2. If  $Q_1 < Q_2$  then  $S_{Q_2} \subseteq S_{Q_1}$
3. For  $Q \geq I$ ,  $S_Q$  is a convex bounded, circled and weakly compact set in  $(H)$  (it is also in the neighborhood of zero)

Proof: Now we prove the assertion (1) above, Since  $(T) \in U$ , it follows that  $\delta(T^*) \in U$ . Indeed  $\delta(T^*) = (\delta(T))^*$  (the star on the right side denotes the complex conjugation, i.e.,  $(\delta(T))^* = \{z^* : z \in (\delta(T))\}$ ). Moreover, since  $|z| = |z^*| < 1$ , for all  $x \in H$

Thus  $T^* \in S_Q$ , i.e.  $S_{Q^*} \subseteq S_Q$ , where  $S_{c^*} = \{T^* : T \in S_c\}$ . Likewise  $S_Q \subseteq S_{Q^*}$  and hence  $S_Q = S_{Q^*}$ . To prove (2): let  $Q_2 < Q_1$ . Now  $T \in S_{Q_1} \Rightarrow (T) \in U$  and  $(Q_1 - I) \|Tx\|^2 + |2 - Q_1| \|Tx, x\| \leq \|x\|^2$   
 $\Rightarrow (Q_2 - I) \|Tx\|^2 + |2 - Q_2| \|Tx, x\| \leq \|x\|^2$ .

Thus  $T \in S_{Q_2}$ . Hence  $S_{Q_1} \subseteq S_{Q_2}$ . To prove the convexity of  $S_c$  for  $c \geq 1$ , we use the property (iv). If  $T_1$  and  $T_2$  are two operators and  $Q_1, Q_2$  are their corresponding positive Hermitian operator as described just after proposition 3.1.1, then from

$\|T_1 + T_2\|^2 \leq 2(\|T_1\|^2 + \|T_2\|^2)$ . Indeed  $\|T_1 + T_2\| \leq \|T_1\| + \|T_2\|$ . Also  $(\|T_1\| - \|T_2\|)^2 \geq 0 \Rightarrow \|T_1\|^2 + \|T_2\|^2 \geq 2\|T_1\| \|T_2\|$  thus  $\|T_1x + T_2x\|^2 \leq \|T_1x\|^2 + \|T_2x\|^2 + 2\|T_1x\| \|T_2x\| \leq 2(\|T_1x\|^2 + \|T_2x\|^2)$ . Now if  $T_1$  and  $T_2$  are members of  $S_Q$ , then using condition (2) in proposition 3.1.5, and a simple calculation, we

have  $1/2(T_1 + T_2) \in S_Q$ . From the properties of  $S_Q$  in the proposition 3.1.6, we further obtain the following useful proposition.

Proposition 2.19. For any bounded hermitian operator  $Q > I$ , the function,  $T \rightarrow \|T\|_Q = \inf\{s : T \in sS_Q\}$  is a Schwarz norm on  $B(H)$ . From this class of Schwarz norms, we can obtain, using the Calkin algebra, another class of Schwarz norms.

Proposition 2.20. Let  $Q_1, Q_2$  be two bounded hermitian operators and  $Q_i \geq I$   $i = 1, 2$ . In this case the function on  $B(H)$  defined by  $T \mapsto \|T\|_{Q_1} + \|\hat{T}\|_{Q_2}$  where  $\hat{T}$  denotes the image of  $T$  in the Calkin algebra of  $H$ , is a Schwarz norm on  $B(H)$ .

Remark 2.21. The above construction of Schwarz norms can be given in the case of  $B^*$ -algebras. For the construction of Schwarz norms we can use the representations of the  $B^*$ -algebra in the algebra  $B(H)$  for some  $H$ .

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